

DINAS A SIR ABERTAWE

HYSBYSIAD O GYFARFOD

Fe'ch gwahoddir i gyfarfod

PANEL ARIANNU ALLANOL

Lleoliad: Ystafell Bwyllgor 5, Neuadd y Ddinas, Abertawe

Dyddiad: Dydd Mercher, 3 Awst 2016

Amser: 3.00 pm

Cadeirydd: Cyngorydd Rob Stewart

Aelodaeth:

Cynghorwyr: M C Child, W Evans, R Francis-Davies, J E C Harris, D H Hopkins, A S Lewis, C E Lloyd, J A Raynor a/ac C Richards

AGENDA

Rhif y Dudalen.

- 1 Ymddiheuriadau am absenoldeb.
- 2 Datgeliadau o fuddiannau personol a rhagfarnol.
www.abertawe.gov.uk/DatgeliadauBuddiannau
- 3 **Cofnodion:** 1 - 2
Cymeradwyo a llofnodi cofnodion y cyfarfod(ydd) blaenorol fel cofnod cywir.
- 4 **Grant Llywodraeth Cymru i gefnogi'r Rhaglen Rheoli Risgiau Arfordirol - Morglawdd Amddiffyn/Cynnal y Mwmbwls.** 3 - 7
- 5 **Trosolwg o'r Ceisiadau Presennol a'r Rhai Sydd ar Ddod am Arian Ewropeaidd ac Allanol.** 8 - 20
- 6 **Prosiect Arwyneb 3G Llandeilo Ferwallt - Sesiwn Frifio.** 21
- 7 **Cronfa Gofal Canolraddol 16/17.** 22 - 54

Cyfarfod Nesaf: Dydd Mercher, 5 Hydref 2016 ar 3.00 pm



Huw Evans

Pennaeth Gwasanaethau Democrataidd

Dydd Mawrth, 26 Gorffennaf 2016

Cyswllt: Gwasanaethau Democrataidd - (01792) 636923

CITY AND COUNTY OF SWANSEA

MINUTES OF THE SPECIAL EXTERNAL FUNDING PANEL

HELD AT CABINET CONFERENCE ROOM, GUILDHALL, SWANSEA ON
THURSDAY, 7 JULY 2016 AT 10.00 AM

PRESENT:

Councillor(s)

W Evans

C E Lloyd

Councillor(s)

R Francis-Davies

J A Raynor

Councillor(s)

A S Lewis

C Richards

Officer(s)

Ian Beynon

Allison Lowe

Wendy Parkin

Paul Relf

Development & Outreach Manager

Democratic Services Officer

Senior Lawyer

European & External Funding Team Leader

1 ELECTION OF CHAIR FOR THE MUNICIPAL YEAR 2016 - 2017.

RESOLVED that Councillor R C Stewart be elected as Chair for the 2016-2017 Municipal Year.

2 ELECTION OF VICE CHAIR FOR THE MUNICIPAL YEAR 2016 - 2017.

RESOLVED that Councillor C Richards be elected Vice Chair for the 2016-2017 Municipal Year.

(COUNCILLOR C RICHARDS, VICE CHAIR PRESIDED)

3 APOLOGIES FOR ABSENCE.

Apologies for absence were received from Councillors M C Child, J E C Harris, D H Hopkins and R C Stewart.

4 DISCLOSURES OF PERSONAL AND PREJUDICIAL INTERESTS.

In accordance with the Code of Conduct adopted by the City and County of Swansea, no interests were declared.

5 MINUTES:

RESOLVED that the Minutes of the External Funding Panel held on 6 April 2016 be approved as a correct record.

6 **THIRD GENERATION ARTIFICIAL SPORTS PITCHES.**

The Development & Outreach Manager presented a report seeking approval to submit a proposal to the Collaborative Sports Facilities Group (representing Sport Wales and the Governing Bodies of Rugby, Football and Hockey in Wales) to develop 3rd Generation (3G) artificial sports pitches in 2 strategically identified locations in Swansea.

Members queried the running costs, maintenance of the pitches and sought assurances that the costs for both sites would at least break even.

The Development & Outreach Manager clarified that the potential running costs for each facility would be in the region of £40,000 - £45,000 and would be funded from the income generated at both sites. This included the provision of a sinking fund for replacing the carpet and shock pad (if applicable) as well as either repair or replacement of fencing and floodlighting. On the assumption that there is life expectancy of 10 years depending on usage and maintenance then it is proposed that a sinking fund of between £15,000 and £20,000 pa per site is put aside. The Business Case had identified that the sites would break even.

RESOLVED that the External Funding Panel notes the implications contained in the report and approves the submission of a business case to attract up to £400,000 of funding.

The Development & Outreach Manager then raised an urgent issue in relation to assistance that Bishopston School / Leisure Centre required in relation to funding for a 3G facility on the existing Tennis Courts. A Trust had been set up in order to pay for the project; however there was a shortfall of £15,000. He confirmed that the maintenance of the facility would be via the Bishopston Leisure Centre staff and the facility would be utilised by both the school and the Leisure Centre patrons. It was hoped that the work would be undertaken during the School Summer Holidays in order to avoid disruption.

The External Funding Panel agreed the funding in principle, subject to a formal report being submitted to the next meeting.

The meeting ended at 10.16 am

CHAIR

External Funding Panel - 3 August 2016

To provide an update on Welsh Government Grant to support Coastal Risk Management Programme – Mumbles Sea Defence Wall/Revetment

Purpose:	To provide an update on Welsh Government Grant to support a Project Appraisal Study for Mumbles Sea Defence Wall
Policy Framework:	Delivery of National Strategy for Flooding and Coastal Erosion Management in Wales and to comply with policies contained within the Shoreline Management Plan 2 Adapting to Climate Change
Reason for Decision:	To inform External Funding Panel of the 100% grant received by the Authority to proceed with a Project Appraisal Study for the Mumbles Sea Defence
Consultation:	Legal, Finance and Access to Service
Recommendation(s):	It is recommended that the External Funding Panel endorses the grant to support the production of the project appraisal study for Mumbles Sea Defence Wall/Revetment
Report Author:	Mike Sweeney
Finance Officer:	Paul Roach
Legal Officer:	Debbie Smith
Access to Services Officer:	Phil Couch

1.0 Introduction

- 1.1 The purpose of this paper is to provide an update to the External Funding Panel with the Welsh Government Innovative Finance Coastal Programme to support capital investment in Local Authority coastal flooding and erosion management schemes
- 1.2 The programme is intended to help local Authorities to implement projects aligned with the policy options recommended within the shoreline management plan

- 1.3 As a coastal protection Authority there is duty to maintain current defence in council ownership
- 1.4 Mumbles Sea Defence wall has been identified as an area which complies with the eligibility criteria as set out in the programme investment objectives.
- 1.5 The programme encourages innovative solutions for Coastal Defence solutions which deliver multiple benefits (e.g. tourism, regeneration, transport and environment as well as flood resilience)
- 1.6 Welsh Government has provided a 100% grant for the production of project appraisals study for potential candidate sites.

2.0 Recommendation

- 2.1 External Funding Panel to support the production of the Project Appraisal Study for Mumbles Sea Defence which will also explore the wider economic and regeneration opportunities.

3.0 Equality and Engagement Implications

- 3.1 Full EIA Screening Report not required
- 3.2 Little direct impact on public at this stage. The project itself will be screened separately

4.0 Financial Implications

- 4.1 Welsh Government has provided Coastal Authorities 100% grant to proceed with the production of project appraisal studies as part of the Coastal Risk Management programme.

5.0 Legal Implications

- 5.1 The constitution requires that External Funding Panel endorses grant funding. The Council will need to ensure that it complies with the terms and conditions of the grant funding. Any procurement activity undertaken to deliver the project appraisal study must comply with the Council's Contract Procedure Rules.

Background Papers: None

Appendices: EFP 1



The City & County of Swansea

EFP1 PRE-SUBMISSION - Notification of Funding Bid to External Funding Panel

Ref:

THE EFP1 APPLIES TO HARD COPY AND ELECTRONIC SUBMISSIONS

1. Scheme background and details - this is to inform the External Funding Panel of the intention to apply for a new grant proposal –

a. Awarding Body	Welsh Government
b. Name and purpose of Scheme/Programme (attach proposal brief in cabinet style report)	Mumbles Sea Defence wall/revetment Coastal Risk Management Project Project Appraisal Study
c. Grant value in Total £	100k
d. Grant period / timescale for delivery	1 st April – 31 March 2017
e. CCS acting as Lead Body or Joint Sponsor? Name other Partners.	CCS will act as Lead Applicant Organisation
f. Detail links to existing schemes at Local, Regional or National level	National Level – Coastal Risk Management Programme
g. Detail direct links to Council Policy, including, where appropriate, target areas	Building sustainable communities to adapt to climate change whilst creating more opportunities for a vibrant and viable city and economy
h. List key target and proposed performance measures	Project Appraisal Report will outline options for enhancing the sea defences in mumbles whilst considering the wider economic and regeneration opportunities
i. For EUROPEAN schemes confirm that the scheme has been developed in conjunction with the European Unit – Yes /No (<i>delete</i>)	(<i>attach email confirmation from the European Unit</i>) N/A
j. Does this grant require a continuation of funding by the Council after the grant period has expired? <i>This includes current or additional staff costs.</i> Yes / No	100% Grant

k. Does the application require match-funding? Yes/No if it does where is this coming from?	No
l. Will the project entail the employment of additional staff and on what basis?	It is anticipated that the project will use existing staff resource and commissioning of an external consultant to produce the PAR
M. Have you completed an EIA (Equality Impact Assessment) Screening Form (please attach)?	N/A
N. Is a full EIA report required?	No

2. Please complete the following financial information:

	Current financial year £	Year 2 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Total project cost:							
Capital							
Revenue							
Grant applied for:	100k						
Capital							
Revenue							
Match Funding Internal	0						
Match Funding External	100						

3. Please complete the following:

a. Does the funding meet the Council's priorities?	The council adopts a strategic approach to provision of flood and coastal defences, which links to the National Strategy for Flood and Coastal Erosion Management in Wales and Shoreline Management Plan for building sustainable communities and creating economic opportunities
b. What are the expected outcomes and are they clear and achievable? (link to 1h)	Yes
c. Is there an exit plan? (link to 1j/l)	No (Evidence to be attached)
d. How is Value for Money being obtained? (procurement/ third party arrangements etc.)	CCS procurement will be followed

e. What is the governance / management structure for the scheme? – What board/management team will it be reported to?	To be confirmed
f. What are the major risks and how will they be managed?	No significant risk foreseen for the production of the PAR (Evidence to be attached)

4. Authorisation

	Name / Signature	Date
Responsible Officer:		
(Group)/Accountant:		
External Funding Panel		
Endorsed /Not Endorsed		

Agenda Item 5

Report of the Director of Place

External Funding Panel – 3 August 2016

OVERVIEW OF CURRENT AND FORTHCOMING EUROPEAN AND EXTERNAL FUNDING APPLICATIONS

Purpose:	To provide an update on current and planned European and other External funding applications
Policy Framework:	Swansea Bay City Region Economic Regeneration Strategy.
Reason for Decision:	1) Update on European and External funding applications
Consultation:	Legal, Finance and Access to Services.
Recommendation(s):	<ol style="list-style-type: none">1) That the updates on projects in sections 2 to 6 be noted.2) That the bid to Welsh Government to seek funding to establish a Swansea Bay Fisheries Local Action Group be supported.3) That the bid for support from the Ireland-Wales programme for the Heritage Trails project via Swansea University be supported.4) That the bid for support from the Ireland-Wales programme for the Exportable project be supported.
Report Author:	Paul Relf
Finance Officer:	Paul Cridland, Jayne James
Legal Officer:	Wendy Parkin
Access to Services Officer:	Phil Couch

1.0 Introduction

1.1 This paper is an update on previous European and External funding reports (3 June, 5 August, 2 December 2015, 3 Feb 2016) to the External Funding Panel, aiming to provide:

- an update on European and External funding applications in progress or nearing approval
- early notification of proposed forthcoming funding applications and programmes

The applications are categorised by funding programme and theme. Information included in the previous report is not repeated.

Exit of the UK from the European Union

1.2 At this point in time, the Welsh European Funding Office is operating business as usual. The European funding allocation for West Wales & the Valleys currently remains in place. It is likely that funding would remain in place for the 2 year period once Article 50 of the Lisbon Treaty has been invoked by the UK Government. There is no certainty over arrangements beyond this, as the situation is unprecedented, and will be subject to the exit negotiations between the UK and the other EU 27 Member States. Current staffing commitments relating to EU funded projects run by the Council are fixed term to March 2018. The position will be kept under constant review.

2.0 Hafod & Morfa Copperworks site

Heritage Lottery Fund – Enterprise

2.1 Following support from the External Funding Panel in February, the Heritage Enterprise application for restoration of the Powerhouse building was submitted in time for the 11 February deadline. The application has been successful. A Development Phase grant of £189,600 has been made by HLF towards total eligible project costs of £210,670. On satisfactory completion of this phase, the more detailed Stage 2 application is required to secure approval of the full HLF grant estimated at £3.75m. Sale of land at the site will be required to provide the match funding of approximately £1m to complete the funding package for Stage 2. Procurement documentation is in preparation for the architects brief. Council match funding of £21k revenue is required for development stage.

3.0 City Centre Regeneration

European Regional Development Fund (ERDF) Priority 4 Objective 4 proposal for Kingsway employment hub

- 3.1 Following agreement from the Panel to progress the WEFO Logic Table for the Kingsway Employment Hub, and agreement at Swansea Bay City Region Pillar 3, the proposal is going forward as a standalone component of a regional package of proposals from South West region. WEFO have indicated the remaining funding to bid for once Welsh Government project funds have been allocated will be £8m. The City Region Board has endorsed a proposition that the £8m is allocated to strategic employment site infrastructure which would include an amount for the Kingsway. As discussions are ongoing, a further verbal update will be provided at the meeting.

European Regional Development Fund (ERDF) Priority 4 Objective 4 Welsh Government 'Building for the Future' (Use it or Lose it) scheme submissions

- 3.2 The prioritised list including three Swansea proposals has now been agreed by Pillar 3 of the Swansea Bay City Region Board, has now been submitted to Welsh Government for inclusion in the national Building for the Future business plan. Welsh Government is now finalising the business plan with WEFO approval expected imminently. Swansea projects included are:

- Kingsway Employment Hub Phase 2
- Palace Theatre
- Albert Hall (reserve pipeline)

Welsh Government are still working on the scheme nationally, with new paperwork due to be issued to local applicants in the near future. Approvals will have an eye on the potential curtailment of the 2014-2020 EU programmes subject to the outcome of Brexit negotiations.

URBACT III

- 3.3 The proposal outlined in the 3 June 2015 report has been forwarded to the URBACT support unit for review and advice on next steps – advice awaited from URBACT Support Unit. An application based on the technology business pipeline outlined in a previous report was attempted for the June 2016 deadline, but due to technical issues it was not possible to proceed with the application. A network of partners across the EU has been established however, and it may be possible to make an application on the same theme to the Interreg Europe programme later this year, subject to the status of the UK and whether Article 50 has been triggered.

4.0 European Social Fund

4.1 Background detail on the European Social Fund can be found in the 3 June 2015 summary report to the panel. The European and External Funding Team will be providing management and monitoring support for all these schemes.

Cynnydd: Progress update

4.2 The Cynnydd project has previously been approved by the Panel. The project aims to meet the requirements of Specific Objective 2 (To reduce the number of those at risk of becoming NEET, amongst 11-24 year olds) under Priority 3 of the 2014-2020 West Wales and the Valleys ESF Operational Programme, by providing a number of interventions targeted at young people who are identified as being at the very greatest risk of becoming NEET.

4.3 Secondary schools will have a Learning Coach resource allocation to enrol appropriate pupils onto the project, refer them onto interventions specific to their individual needs and ensure that the provision is enabling these pupils to make positive progress in their attainment, attendance and behaviour. Secondary Schools are underway with the appointment of the allocated resource.

4.4 The majority of provision will be through procured activity in the following areas (product lots):

- Literacy & Numeracy (including Financial Literacy)
- Volunteering Support & Activities
- Complementary/Alternative Curricula in formal and informal settings – full, partial or unit qualifications
- Complementary Curricular – informal and non-formal – Arts/Sports/Outdoor pursuits provision
- Counselling and targeted intervention including Emotional, Social and Behavioural Difficulty support; Cognitive Behaviour Therapy; Attachment Therapy and Substance Misuse Support

4.5 Careers Wales will be able to provide the following through the project at no cost to the Local Authority's Cynnydd budget:

- Employability support & work readiness activities
- Work experience support and co-ordination
- Work focussed experiences
- Employer engagement activities

4.6 A report was scheduled and approved at Corporate Briefing on the 7th April 2016 and Cabinet on the 21st April 2016 to approve and agree the City and County of Swansea's participation and implementation in the Cynnydd Project, in anticipation of WEFO's approval of the project.

- 4.7 WEFO approved the project on the 25th May 2016. Project start date has been confirmed as the 1st March 2016, and project completion on the 28th February 2019. Evaluation will take place for the last 3 months of the project, therefore no project delivery will take place during this time.
- 4.8 No project delivery has commenced prior to the approval following Legal advice that any project activity incurring a cost would not be supported due to the financial risk, should WEFO not approve the project. Project delivery is anticipated to commence in September 2016.
- 4.9 In its capacity as Lead Beneficiary, Pembrokeshire County Council will provide a European Contract Management Team (ECMT) to undertake the strategic management, monitoring, compliance, financial management and procurement overview of the project and its activity across the Region.
- 4.10 WEFO held an Inception Meeting with Pembrokeshire CC on the 7th July 2016. Pembrokeshire CC are then subsequently meeting with the delivery team, responsible for the management and monitoring of the Project from the European and External Funding Team on the 19th July 2016.
- 4.11 A Regional Strategic Board led by Pembrokeshire will take place quarterly, comprising senior education officers from each Local Authority to make strategic decisions on the project. These meetings are yet to be arranged.
- 4.12 A Regional Operational Group will also take place quarterly to discuss operational issues and refer to the strategic board where appropriate. These meetings are yet to be arranged.
- 4.13 A Local Project Board will take place on a monthly basis to monitor take up of participants, share good practice, accept and review recommendations from the Regional Operational Group, discuss referrals between partners, and referring progress and risks/issues to the Regional Operational Group for discussion. The first meeting took place on the 13th July.
- 4.14 Governance within the City and County of Swansea is yet to be confirmed, but it is likely that the CYP and NEET Board will be involved in these arrangements.
- 4.15 The allocation to City & County of Swansea is:
- Total Project Cost: £2,358,684
 - Total ESF Grant: £1,651,079 (70.00%)
 - Total Match Funding: £707,605 (30.00%)

- 4.16 A total of 780 participants have been allocated to City and County of Swansea over the project duration. Schools have received an allocation according the percentage of the total number of pupils who feature highly on the Vulnerability Assessment Profile. Regular updates will be provided to the Panel based on the headline outputs for Swansea set out below:

WEFO Output	Target	Achieved to date
Total participants	780	0
Gain qualifications	56	0
Are in education or training	390	0
Are at reduced risk of becoming NEET	390	0

- 4.17 Pembrokeshire CC led a procurement exercise, resulting in a framework agreement being established between Pembrokeshire CC and the service providers who tendered. A call off arrangement, for individual services will take place as and when required. The European and External Funding Team are currently underway with organising pre-engagement checks, which will be followed by contracting to each service provider under a call off arrangement.
- 4.18 From the Pembrokeshire procurement exercise, no providers were appointed for Product Lot 9 (Counselling) and an age gap in provision has been noted for Product Lot 2 (voluntary activities), therefore separate ITTs will need to be carried out by the City and County of Swansea, which will be led by the European and External Funding Team.
- 4.19 The majority of the necessary match funding is being met by staff in kind match of appropriate posts within the Schools. The posts put forward are currently being confirmed in readiness for notification communication being issued to the relevant personnel/staff.

Cam Nesa

- 4.20 The development of the Cam Nesa project has been deferred until the Cynnydd business plan has been submitted to WEFO. This project, also to be led by Pembrokeshire County Council, will aim to provide a range of engagement, learning and training activities for 16-24 year olds in order to reduce youth unemployment and reduce the number of young people who are already NEET, as well as to increase the attainment levels of 16-24 year olds who are already NEET.
- 4.21 Pembrokeshire have requested beneficiary Authority's to review what their requirements would be from the Cam Nesa provision, high level finance requirements and NEETs statistics. The European and External Funding Team has liaised with relevant officers to develop a high level project objective and associated costs for Swansea needs. This information has received Senior Management support. The information has been submitted to Pembrokeshire CC.

Workways +: Progress update

- 4.22 Workways + is the leading employability project supported with ESF within the South West region. The project focuses on a narrow target group of those who are long term unemployed or economically inactive, with complex barriers to employment but living outside of Communities
- 4.23 First wards. (Communities First wards will be served by the national 'Communities 4 Work' ESF project only).
- 4.24 Approval of the project was granted on 16th February 2016, with staff recruitment undertaken throughout April and May 2016. Following staff appointments the project started delivery during June 2016.
- 4.25 The immediate focus for the team has been to promote the return of the project and undertake outreach activity in order to enrol eligible participants to the project and establish caseloads.

WEFO Output	Target	Achieved to date
Participants Engaged	917	67
Entering employment including self-employment upon leaving	206	0
Gaining a qualification or work relevant certification	459	0
Completing work experience placement or volunteering opportunity	367	0
Engaged in job search upon leaving	172	0

Communities for Work: Progress update

- 4.26 The Communities for Work project is focussed within the current Communities First clusters in Swansea and is focussed on reducing the number of NEET's aged 16-24 (P3) and to increase employability of the economically inactive and long term unemployed for those aged 25 and over (P1) who have complex barriers to employment. The Welsh Government is the lead body with the City & County of Swansea (CCS) as a local delivery body. An act of entrustment with the Welsh Government was signed by CCS on the 10th February 2016 and covers the period from January 2016 to March 2018.
- 4.27 Eligibility of participant's location to be within the local clusters is verified by using a post code checker housed on the Welsh Government Communities First web page. Additional eligibility checks are conducted by staff prior to confirming participant engagement.
- 4.28 The project is profiled for CCS to employ 15 staff, to date 12 posts have been filled with the remaining staff to be appointed shortly. 5 posts are allocated as adult mentors, another 5 are assigned as youth mentors with the remaining 5 as triage officers.

4.29 Following engagement a participant's requirements will be assessed as part of a triage process, identifying solutions such as mentoring or specific training requirements. Training courses not currently available can be requested via a Welsh Government procured provider – ACT, currently the project has 3 requests for specific courses to submit to ACT.

4.30 Outputs to date are summarised in the table below.

Indicators	Target	Achieved to date
Engagements: Economically inactive	112	1
Engagements: Long-term unemployed	56	3
Engagements: NEET participants	96	2

5.0 Rural Development Plan 2014-2020

5.1 A draft rural local development strategy was submitted to Welsh Government in September 2014 in consultation with Cabinet Member for Regeneration. The document has been approved but is to be subject to detailed annual review. The £1.4m funding package previously approved by the Panel, and Cabinet 16 July 2015 is now formally approved. The Swansea Rural Development Partnership is currently being refreshed, and two of the three officers required to operate the programme are now in place with the third on the redeployment list.

5.2 The Swansea Rural Development Partnership has been refreshed with a new chair and vice chair appointed. The rural local development strategy was reviewed in March 2016 and submitted to the Welsh Government.

5.3 Sub-groups centred around the three main themes identified of Economy and Community, Tourism and Transport & Infrastructure have been established and are in the process of meeting in July 2016 prior to the 26th July partnership meeting. The sub-groups have been set up to focus on the ideas presented within the specific themes and to report back recommendations to the main partnership group.

5.4 The first project under RDP leader has been approved which is the Gower Sunday bus service approved to run until September 2016. Two Request for quotations are currently being drafted on behalf of the partnership focussing on a visitor centre and walking/cycling route provision.

5.5 The Rural Community Development Fund (RCDF) has now been launched by Welsh Government and includes themes around Access to Services and Village Renewal. Applications to this £50m Fund are currently on hold subject to clarification on Brexit negotiations.

6.0 European Fisheries Fund

- 6.1 The European Fisheries Fund 2007-13 (EFF) ended on 31st December 2015. The Swansea Bay Fisheries Local Action Group (SBFLAG) successfully undertook its role in steering the development and supporting implementation of 13 projects during the 18-months delivery phase resulting in a total grant investment of £281k.
- 6.2 Welsh Government held a launch event on the 11th February 2016 for the European Maritime Fisheries Fund 2014-20 (EMFF), alongside the official launch of the Rural Development Plan 2014-20.
- 6.3 Welsh Government is now seeking applications from interested parties to establish Fisheries Local Action Groups throughout Wales. It is anticipated that WG is likely to approve between three and four FLAGs across Wales, that cover defined geographical areas within which fisheries related activity is prevalent. Based on a national FLAG allocation of £1.5M, there is an estimated funding allocation of between £375k - £500k.
- 6.4 Once the FLAGs are established there will be the opportunity to submit funding applications to the remaining £10M national EMFF funding pot. Ideas such as the Coastal and river access project could be progressed with this funding which could include pontoons at Hafod Copperworks and an upgraded slipway at Knab Rock.
- 6.5 Following the success of the previous Swansea Bay Fisheries Local Action Group (SBFLAG) under the EFF 2007-13 programme a proposal to re-establish the SBFLAG has been drafted that would broadly cover the Burry Port to Porthcawl geographical areas, with the City & County of Swansea acting as Lead Body.

Recommendation 2) That the bid to Welsh Government to seek funding to establish a Swansea Bay Fisheries Local Action Group be supported.

7.0 Other European programmes

- 7.1 A range of other opportunities exist, particularly through programmes designed for joint problem-solving through regions across Europe and beyond working together.
- 7.2 General characteristics of these programmes:
- Usually should include at least one other member state – varies between programmes
 - Primarily revenue expenditure, only limited scope for capital works
 - There must be a European dimension to project proposals – not just bolted on to a local initiative to secure funding.

- Opportunities to work with other cities and regions across EU to work on joint problems and issues.
- Grant rates usually generous, 75-80%.

As approved at the external funding panel meeting on 3rd June 2015, opportunities to access other European programmes will be actively explored.

Heritage Trails and Ireland Wales (INTERREG Ireland-Wales programme)

7.3 This project is currently being scoped with the preferred direction to undertake a pilot project to deliver ultimate changes by developing one tourist trail in both Cork and Swansea. The pilot will establish what will work and what will not work through an iterative approach and a process of elimination.

7.4 The tourist trail is intended to build on natural and cultural heritage and enhance the tourist experience and thereby increase tourist numbers and length of their stay. Community involvement in the development of the trails is important and an infrastructure and network of partners including the community that can take this forward will be developed. As a legacy a framework will be produced that will be transferable in other situations.

- Establish a cross-border partnership to exchange best practice in developing trails that maximise the cultural and natural heritage of the areas
- Establish partnerships and networks within Cork and Swansea that include tourism, museums, development agencies, business, community groups, 3rd and voluntary sector groups, schools, colleges and universities. In Swansea this also includes the existing professional and community networks at the University through the Connected Communities project.
- Cork will focus on natural heritage with cultural heritage as a sub-theme. Swansea has Cultural heritage as its focus with natural heritage as a sub-theme. The proposed operation will enable shared expertise and bring all elements together through a shared interpretation platform/ methodology
- Develop exciting tourist experiences along the trails through the use of innovative interpretation using ICT
- The trails and their interpretation will be inclusive an appeal to a wide demographic
- Engage communities throughout the process from initial ideas and mapping to developing interpretation and marketing
- Conduct a series of events – workshops and open days for communities
- Work with specific groups such as schools
- Learning will be part of the project – all ages. This will involve enhancing a sense place

- Environmental enhancement
 - Involving SME's to provide services and become involved in the network
 - Identify and map other places and experiences that link with the trails
 - Create a framework that is transferable as a legacy
 - Maximising the economic benefits of the trails by sustainably utilising their natural and cultural assets.
- 7.5 The Lead Beneficiary is Swansea University and will be responsible for overall project delivery, co-ordination of systems and Joint Beneficiaries.
- 7.6 Each Joint Beneficiary in Cork and Swansea will be responsible for their own budget and project management. Responsibilities for various work packages have been shared amongst the Joint Beneficiaries however there will be a high level of cooperation in order to ensure a coordinated approach is achieved. In this regard, Joint Beneficiaries and the Lead Beneficiary will work closely together to ensure coherent project delivery. While a work package leader has been assigned for Communication and Dissemination of the project, it is agreed that all Beneficiaries will have a shared responsible in this area.

Recommendation 3) That the bid for support from the Ireland-Wales programme for the Heritage Trails project via Swansea University be supported.

Exportable (INTERREG Ireland-Wales programme)

- 7.7 Exportable Operation is a 48 month project proposed under the INTERREG Ireland-Wales programme (which includes preparatory phase and finalisation closing and report phase totally 12 months), with a specific objective to increase intensity of knowledge and transfer collaborations involving research organisations and SMEs in line with the shared priorities of the smart specialisation strategies.
- 7.8 There is a strong need to improve and develop the international competitiveness of SMEs in both regions. Therefore, all of the Operation's actions will be closely focused on enterprises that are on the threshold of export potential but require to be accelerated into the export marketplace.
- 7.9 Exportable as the name indicates will be assisting:
- through a product design process to bring products and services to a "shelf ready position" for entry into the Irish or Welsh marketplace
 - Providing soft-landing's in that marketplace to facilitate entry and
 - Investigating new business models and forms of financing for new exporting ventures.

- 7.10 The operation will audit a significant number of enterprises before selecting a participating cohort of those for the targeted operation supports. Of the 20 selected it is envisaged that 12 will fully participate in the operation.
- 7.11 The operation will, in line with the IW programme priorities, significantly
- promote business
 - investment in innovation
 - and research, and
 - will foster the development of new links and synergies between enterprises, R&D centres and higher education in particular will support product and service development and technology transfer
- 7.12 The Cork Institute of Technology is the Lead Beneficiary, with the Institute of Technology Tralee in Ireland, Swansea University and Swansea City and County of Swansea as joint partners.
- 7.13 Extensive engagements in Ireland and Wales have taken place to prepare an Operation Logic Table for an Ireland Wales Co-operation Programme. The OLT has been submitted to WEFO.
- 7.14 The OLT will be considered by the Programme Technical Committee in early September, with the expectation that the programme will be invited to enter into the second stage of the submission process.
- 7.15 The anticipated total cost for this operation is €3 million calculated at this stage on previous experience and demonstrating excellent value for money against proposed targets.

The operation will be funded by the following:

- 80% of funding will be ERDF funding from the Ireland Wales Co-operation Programme.
- 20% of funding will be provided by partners through the established permitted mechanisms.

Recommendation 4) That the bid for support from the Ireland-Wales programme for the Exportable project be supported.

8.0 Equality and Engagement Implications

- 8.1 Every European programme is subject to a full Equality (and Environmental) Impact Assessment, which are available for public consultation as programme development progresses. Projects and strategies developed for submission to the programme must detail how

they will address equality issues as a cross-cutting theme. The level of integration of equality into project and strategy design is part of the formal development and assessment process for every strategy and project seeking European funding.

9.0 Financial Implications

9.1 The Hafod Copperworks HLF application requires a substantial cash contribution from the Council to demonstrate full backing and buy-in to the bid. The amount indicated in section 2 above is based on estimated minimum value of land sales at the copperworks site ring-fenced for supporting the site in a previous Cabinet report (Cabinet Meeting 13 January 2011 Minute 201).

9.2 A number of issues have been taken into consideration in developing the business plan based on experience from the current programming round:

- Taking advantage of opportunity to use 15% flat rate for overhead costs removing the need for an audit trail for certain internal transactions and meeting part of the match funding requirement for the programme. Use of the 15% flat rate overhead will also reduce administrative burden particularly in relation to audit.
- The proposed team is proportionate to the scale of the programme based on experience from the previous round.
- Redundancy costs are included to cover for the duration of the programme.
- Procurement advice will be sought as appropriate and will build on good practice established via previous EU programmes.
- Internal match funding requirement being kept low using internal staff time and flat rate overhead.
- Document retention and storage arrangements are now following the central archiving arrangement led by the European Office, as agreed at Executive Board in June 2014.

9.3 Any associated revenue costs will be met from existing revenue budgets.

10.0 Legal Implications

10.1 Documentation prepared to support implementation of current collaborative arrangements will need to be reviewed against updated WEFO guidance, taking into account lessons learned from current implementation arrangements.

10.2 Generally the terms attached to EU Grant Funding are legally binding and should be formally recorded in an appropriate document/contract. Any joint bid by Regional Partners should similarly be so recorded and governance issues clarified in terms of legal responsibility and decision making.

Background Papers:

None

Bishopston 3G Surface Project - Briefing

Background

Bishopston Comprehensive School have identified an opportunity to significantly develop the existing hard court facilities at the school to increase and enhance opportunities for both school and community-based provision. The project includes the resurfacing and re-fencing of the existing tennis court area with a 3G artificial surface which would service football and rugby as well as various other health related physical activity opportunities. Additionally, the areas currently occupied by a multi-use games area and adjacent hard court would be developed with a traditional 'astro turf' area suitable for hockey and tennis. As an added bonus the school has also negotiated with the developer to replace an artificial cricket wicket on the site as part of the agreed overall cost.

The project has been made possible by significant financial assistance from the Arwyn Harris Community Sports Foundation, which was set up in the name of an ex-PE teacher and well known community sportsman Arwyn Harris, with a view to leaving a long-lasting legacy in his name. Through various fundraising activities and donations they now have 70k of capital funding available.

As part of the fundraising, contact was made with the local community regarding what was needed in terms of facility development in the area. Due to the extremely strong traditional sporting infrastructure in the community the overwhelming choice was for the development of an all- weather surface. The Foundation can evidence a considerable amount of the funds they have raised coming directly from Football and Rugby clubs (and individual club members) in the surrounding area.

Finance

In addition to the £70k committed by the Trust, the school and Council Departments have committed additional funds of 36k towards the project, leaving a shortfall of 15k which will be applied for as part of a Sport Wales Development grant bid.

Timescale

It is anticipated that the project will commence in mid- August 2016 and be completed by the end of the school summer holiday period, allowing for minimum disruption on the school site during the autumn term and also being available as soon as possible for the school PE department and for the local clubs and wider community.

Agenda Item 7

Report of the Cabinet Member for Adults and Vulnerable People and
Director of People, the Head of Finance and the Head of Legal
Services

Where scheme exceeds £150,000 but not £1,000,000

Report of the Cabinet Member for Adults and Vulnerable People

INTERMEDIATE CARE FUNDING 16/17

Purpose:	To approve the ICF capital funding for City and County of Swansea
Policy Framework:	Social Services and Wellbeing (Wales) Act 2014
Reason for Decision:	To comply with Financial Procedure Rule No.7 (Capital Programming and Appraisals) - to commit and authorise schemes as per the Capital Programme or to include new schemes in the Capital Programme.
Consultation:	Finance, Legal, Access to Services
Recommendation(s):	
Report Author:	Sara Harvey
Finance Officer:	Jayne James
Legal Officer:	Debbie Smith
Access to Services Officer:	Sherril Hopkins

1.0 Introduction / Background

1.1 Welsh Government has provided Intermediate Care Fund (ICF) to Local Authorities and the Health Board since 2014/2015 to build on effective working across health, social services and housing to improve the planning and provision of integrated services, with a focus on integrated working to help avoid unnecessary hospital admissions, or inappropriate admission to residential care, as well as preventing delayed discharges from hospital. This has included both capital and revenue funding.

1.2 Welsh Government confirmed the ICF funding for 2016/17 for the Western Bay region as follows:

ICF Revenue Funding 16/17

Frail and Older People	£5,190k
Learning Disability and Complex Needs	£687k

ICF Capital Funding 16/17

Capital Funding	£1,696k
New Government revenue allocation (post-election)	£2,578k

TOTAL **£10,151k**

1.3 The aim of the 2016-17 Intermediate Care Fund (ICF) is to drive and enable integrated working between social services, health and housing and the third and independent sectors.

1.4 The revenue funding element for Western Bay has been provided to Abertawe Bro Morgannwg Local Health Board (ABMU HB) on behalf of the statutory Western Bay Regional Partnership Board. This includes the frail and older people and the learning disability elements. A list of the regional schemes is included in **appendix 1** for information.

1.5 The criteria for the New Government Revenue allocation (post election) have not yet been issued and Welsh Government has confirmed a decision should be made shortly.

1.6 In the guidance Welsh Government (WG) confirmed that the capital funds would come through the Health Board, however Health Finance colleagues highlighting that there would be issues with this process in terms of reclaiming VAT and the Health Board rules around capitalisation of assets. In order to avoid these issues WG were asked if the capital funding could be redirected through either 1 Local Authority or each Local Authority (LA). The decision on this has not yet been confirmed by WG, so there is still the potential that the funding will come via the Health Board and then redirected to each LA or to one LA.

1.7 The remainder of the report focuses on the ICF capital element for Swansea.

2.0 Proposed Works

2.1 A list of the capital proposals for the Western Bay region, including Swansea is included in **appendix 2**.

3.0 Objective of Scheme

3.1 The 2016-17 funding will continue to support initiatives in relation to supporting older people to maintain their independence and remain at home, avoiding unnecessary hospital admissions and delayed discharges. However, it has extended the scope of the integration agenda to look at the development of integrated care and support services for other groups of people. A detailed guidance document has been provided by Welsh Government which lays out the parameters of the funding and is included in **appendix 3**. Proposals submitted have followed these guidelines.

4.0 Equality and Engagement Implications

4.1 Project Leads for the individual schemes will be expected to consider equality and engagement implications and carry out EIA processes.

5.0 Financial Implications

5.1 An initial list of capital schemes for Western Bay was submitted to Welsh Government (WG) on 1st June 2016, which was within the timescales set out by WG. Welsh Government were informed that given the short timescales to develop and submit the proposals further work was needed to assure the proposals. There had also been some concern around potential revenue consequences and the issue of funding staff that may not be sustainable.

5.2 An assurance process was initiated to provide more confidence that the proposals were deliverable in the timescales and ensure that the schemes were sustainable. A small panel of finance and operational colleagues reviewed the submissions on 28th June and provided recommendations for the schemes which should proceed, those which should be withdrawn and some which required further information.

5.3 Work is ongoing to review the capital schemes in Swansea and we are still awaiting further information for some schemes. The total cost of the capital schemes for Western Bay is set out in **appendix 2**, the capital element for Swansea will be between £345,000 and £501,107. The capital schemes are 100% grant funded and no match contribution is required from the City & County of Swansea Council.

5.4 The timescales for the revenue and capital proposals could present a challenge given that the proposals have not yet been approved by WG. Approval is likely not to be received until August/early September, which only allows 7-8 months to complete the works. Guidance states that the funding must be used, i.e. expenditure incurred during the 2016-17 financial year. There is a risk that if activities / works are not completed within these timescales that Welsh Government will request monies be refunded.

6.0 Legal Implications

6.1 From April 2016, there is a requirement under Part 9 of the Social Services and Well-being (Wales) Act for the establishment of a statutory Regional Partnership Board (RPB). This Board is currently in shadow form at the time of approval. Swansea Council has approved it at Cabinet on 21 July 2016. The Western Bay Regional Partnership Board will lead on the planning and use of the funding, as well as ensuring delivery, to maximise outcomes for people and the effective and efficient use of resources.

6.2 Welsh Government (WG) requested confirmation from Western Bay of our agreement to receive funding through a memorandum of understanding (MoU). This has been signed by the Chief Executive of ABMU and countersigned by the Finance Director, approved by Western Bay Leadership Group members (which includes the 4 Chief Executives and the Social Services Directors) and will be endorsed by the statutory Regional Partnership Board. The MOU currently states 'an alternative host may be nominated for capital funding depending upon the schemes agreed.' The MOU will be updated once WG has clarified where the capital funding will be directed to. (Refer to paragraph 1.6)

6.3 The Authority has followed the terms and conditions laid out in the guidance.

7.0 IT/Systems Implications

7.1 There are no IT/systems implications.

8.0 Property Implications

8.1 There are no property implications as the current schedule of proposed schemes do not relate to any property that Council owns.

Background Papers: None.

Appendix:

Appendix 1 – Regional Revenue schemes for information

Appendix 2 – Capital proposals for the Western Bay region, including Swansea

Appendix 3 – Intermediate Care Fund Guidance



Western Bay Programme Intermediate Care Funding 16/17

List of Schemes

REVENUE FUNDING Frail and Older People Funding - £5,190k			
Ref	Scheme	Estimated Cost	Brief Description, including Achievability / Delivery of Scheme
1.	Continued development of integrated Intermediate Care Services across Western Bay including a review and reprioritisation where necessary, of the key features of the optimal model in context of whole system.	£5,190,000	<p>Implementation of the Western Bay optimal model Business Case 2014 /2017, with targeted review. Features of the model include Common Access Point (including Third Sector Broker), Acute Clinical Response and Reablement Services</p> <p>Service delivery monitored through S33 agreements and each locality via their Joint Partnership Boards and overseen at a regional level by Community Service Planning and Delivery Board and the Regional Partnership Board.</p> <p>The aims of the scheme are to ensure high quality, consistent and responsive services to older people across the region. The services promote independence, choice and focus on what matters to each individual to be able to address their particular situation.</p> <p>The services will ensure that where possible individuals will be able to stay at home rather than be admitted to hospital or residential care. Ultimately it aims to provide a better model of care for older people, which allows for citizens to receive more coordinated and holistic care.</p>



REVENUE FUNDING
Learning Disability and Complex Needs for Children Funding - £687k

Ref	Scheme	Estimated Cost	Brief Description, including Achievability / Delivery of Scheme
2.	Delivering further right sizing, right pricing work within the Contracting and Procurement (C&P) Project for Social Care and Health teams across Western Bay	£311,022	<p>Delivering further right sizing, right pricing work within the Contracting and Procurement (C&P) Project in order to fully embed the practises within social work and health teams, including an extension of right sizing, right pricing work to include children with complex needs to support transition into adulthood.</p> <p>Proposal underpinned by business case and overseen by C&P Project Board and Regional Partnership Board.</p> <p>Outcomes for service users: Promotion and maximisation independent living opportunities, by creating a more outcome based future for the individual. Co-produced outcomes where possible will include a plan for reablement and improvements to their quality of life</p>
3.	Extended Services of Specialist Behavioural Support Services by recruiting additional staff in order to provide a seven day service	£122,500	<p>Enhancement of specialist behavioural service to a seven days service to support all community services, reduce escalation of needs in health and social care settings and reduce likelihood of hospital admission as well as effective discharge. Outcomes for service users:</p> <ul style="list-style-type: none"> • Extended hours of access for patients, carers • Reduce the potential demand for hospital admission due to the extended working hours and the speciality of the • More timely discharge and move on from our assessment units and specialist residential units. • Enhance the provision of the core community service by working closely with them to manage patients on their caseloads out of hours thus prevent the build



			<p>of crisis.</p> <ul style="list-style-type: none"> Reduce the need and demand for some of our inpatient units by enhancing the community provision which will give better outcomes to patients. <p>Main risk to achievability is recruitment of specialist practitioners to extend hours of working.</p>
4.	Introduction of a hospital Liaison service within the general hospital settings at Princess of Wales and Morriston hospitals with the aim of improving access to secondary health care for people with a learning disability and improving physical health outcomes.	£55,000	<p>Introduction of a hospital Liaison service within the Emergency Departments at Princess of Wales and Morriston hospitals by recruiting 2 nurses, with the aim of improving access to secondary health care for people with a learning disability. This service will work alongside the Primary Care Educator Role. Outcomes for service users will be:</p> <ul style="list-style-type: none"> Enhanced level of appropriate care to patients that will attend the acute hospital for assessment and potential admission. Admission avoidance if the person is assessed in A&E in a timely manner and community service can be engaged to support outside the hospital. Timely discharge from acute hospital setting by the liaison nurses involvement in the discharge planning process and linking community setting. Timely Specialist Learning Disabilities advice for the acute hospital staff Enhanced specialist advocacy role for this patient <p>Attractive role and working along with liaison within DGH settings being a priority make this highly achievable with plans being made to continue beyond March 2017.</p>
5.	Alongside hospital liaison Primary Care Educator Role within community networks will improve processes to access mainstream preventative health services for people with learning disabilities	£55,000	<p>Primary Care Educator Role for Learning Disabilities Services with a view to , enhance the links between primary care and secondary care learning disabilities services, by providing education to staff, reviewing referral patterns to facilitate earlier intervention. This role will work alongside the hospital Liaison service (see proposal 4). Outcomes to service users and staff will include:</p> <ul style="list-style-type: none"> Enhanced level of appropriate care to patients with LD who access primary



	within health and social care settings.		<p>care.</p> <ul style="list-style-type: none"> • Expand the potential for patients with Learning Disabilities to access services provided by the networks across ABMU that they may have not previously being able to access for various reasons by better education and awareness. • A structured awareness training program for primary care and network teams on issues relating to Learning Disabilities. • Better advice and training in relation to risk assessment and risk management for this patient group. <p>The primary care educator role can be delivered as a time limited task focused activity within the year.</p>
6.	Enhancement of therapy provision in specialist learning disability in patient units to improve effective discharge planning.	£55,000	<p>Therapy Provision in Specialist Learning Disability Services Provision for service users from the Western Bay area who are admitted to the ABMU Acute Assessment and Treatment Units. Outcomes to service users and staff will include:</p> <ul style="list-style-type: none"> • Enhanced skill mix in team to reflect the complexity of the presenting needs, providing a more comprehensive assessment and treatment provision and more effective discharge planning. • Increasing the effectiveness of the discharge process has the potential to reduce the number of delayed transfers of care and readmission rates. • Improve the quality of life experienced by in-patients with the predicted outcome of reduction of challenging behaviour and improvement in overall health and wellbeing. <p>Main risk to achievability is recruitment of therapy practitioners and the need to engage with other health boards for regional provision.</p>
TOTAL		£598,522	Under-spend - £88,478 (though some start up costs will be incurred)



Initial Schemes Capital Funding - £1,696k for Western Bay Region			
SWANSEA SCHEMES			
Reference No.s	Scheme	Amount	Description
10/11	Supported Living Options in Swansea	£115,000	Provision of technology to empower service users with learning disability to be more independent. Providing people with learning disability with the opportunity to try out independent skills in an appropriate environment with the long term aim to reduce the need for high level packages of care.
12	Closer to Home in Swansea	£100,000	<p>Providing accommodation solutions for individuals or individuals and their families, which will:</p> <ul style="list-style-type: none"> • provide accommodation for people with learning disabilities who have been accommodated in other areas, usually some distance from their families • provide accommodation in communities for persons with complex needs (e.g. due to long-term health problems or serious health conditions as a result of an accident) • meet needs as jointly identified by housing, health or social care professionals • build on existing good practice and the excellent work undertaken by First Choice <p>Housing association in developing housing solutions for (but not exclusively) clients with learning disability</p>



13	Extended Specialist Accommodation for Children with Special Needs in Swansea	£30,000	Increase amount of accommodation for children with special needs by providing more beds locally in order to bring more children back from out of county
14	Assistive Technology for Older People in Swansea	£100,000	Provision of a range of assistive technology devices for older people within their homes with a view to increasing their independence
<p>Allocated Schemes (above) £345,000</p> <p>Still to Allocate £156,107 (Awaiting further information)</p> <p>TOTAL £501,107</p>			

BRIDGEND SCHEMES			
2	Community Based Reablement Solutions in Bridgend	£174,000	To increase the Residential Reablement bed numbers in Bryn-Y – Cae in Bridgend and purchase the necessary equipment to support the service users appropriately
3 -7 inclusive	Bridgend Care & Repair	£302,000	A range of projects to help older people to remain living safely and independently in their own home through the provision of a timely and responsive home safety adaptations:



- To avoid admission / facilitate discharge
- To promote independence following a diagnosis of dementia
- To support carers
- Preventative needs identified in primary care

Allocated Schemes (above) £476,000

NEATH PORT TALBOT SCHEMES

MOU No 1 (part)	Closer to Home in NPT	£318,893	To meet the need for additional housing solutions for people with learning disabilities. There are service users currently living out of county in high cost residential care placements and who wish to be repatriated back to Neath Port Talbot. This scheme should generate savings on placement costs.
MOU No Not Applicable	Planned Adaptation Programme in NPT	£250,000	Working with health professionals it is proposed to identify clients that are due to be admitted to hospital for a major operation/procedure and to work with the client and health professional to identify what the client's needs will be in relation to home adaptations following the procedure; the assessment and planning will be carried out prior to them being admitted into hospital and to aim to have the adaptations completed in time for the client to be discharged from hospital when they are ready, eliminating the delay to discharge due to adaptations not in place.
MOU No Not Applicable	Rapid Adaptations Grant in NPT	£150,000	This project is to support an existing scheme which was initially supported with ICF funding in 2014 which has proven to be very successful. The RAG is currently funded from NPTCBC Capital Budget. Due to the success of this scheme it has resulted in demand out stripping the available budget. The current level of funding supports approximately 80 enquiries. The anticipated demand for FY 16/17 is around 160 enquiries.



			<p>The RAG provides a means of quickly installing or replacing a facility/adaptation such as stair lifts, wash dry toilets and hoists which are beyond economic repair.</p> <p>The aim is to reduce delay in providing these facilities by removing the need for an OT assessment. In most cases the applicant is already known to the authority and would previously have an OT assessment.</p>
Allocated Schemes (above) £718,893			
	GRAND TOTAL	£1,696,000	



Llywodraeth Cymru
Welsh Government

INTERMEDIATE CARE FUND GUIDANCE 2016-17

Intermediate Care Fund Guidance 2016-17

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1. Introduction

Context

- 1.1 The aim of the 2016-17 intermediate care fund (ICF) is to drive and enable integrated working between social services, health and housing and the third and independent sectors. The focus of the intermediate care fund in 2014-15 and 2015-16 has been on integrated working to help avoid unnecessary hospital admissions, or inappropriate admission to residential care, as well as preventing delayed discharges from hospital.

Aim

- 1.2 The 2016-17 funding will continue to support initiatives in relation to supporting older people to maintain their independence and remain at home, avoiding unnecessary hospital admissions and delayed discharges. However, we are now extending the scope of the integration agenda to look at the development of integrated care and support services for other groups of people.
- 1.3 The £50m million revenue funding set aside for this financial year should be used to support:
- older people to maintain their independence, avoiding unnecessary hospital admission and preventing delayed discharges.
 - integrated services for people with learning disabilities.
 - an integrated autism service in Wales; and,
 - integrated services for children with complex needs.
- 1.4 The ICF provides a real opportunity to drive a step change at both a strategic and operational level, to improve the planning and provision of integrated services and to develop sustainable models of delivery.

2. Purpose and objectives

2.1 The ICF can be used to build on existing good practice and to increase the scale of provision of integrated services across Wales. It can also be used as pump-prime funding to assist transformation and change and to test out new models of delivery.

2.2 The funding has the following objectives:

- improve care coordination between social services, health, housing, education and the third and independent sector through innovating and enhancing schemes which
 - a. support frail and older people;*
 - b. develop integrated services for people with learning disabilities and children with complex needs*
 - c. develop an integrated autism service, focussing on a multidisciplinary team to support autism in adults and enhancing existing children's neurodevelopmental services*
- strengthen the resilience of the unscheduled care system
- promote and maximise independent living opportunities (including ensuring increased provision of timely home adaptations) in response to referrals from health and care services;
- support recovery and recuperation by increasing the provision of reablement services (at home or through the provision of step-down / convalescence beds in the community setting).

2.3 There are a number of care coordination and collaborative schemes in Wales. Their provision is variable, however, and they are not always available on a sufficient scale to meet demand. Innovation and good practice also need to be shared and adopted more widely across Wales. We need to ensure equity of access to provision of high quality services and support.

2.4 The ICF provides an opportunity to:

- focus the resources and increase capacity of care coordination or rapid response schemes (such as community resource teams) and the pace at which they are being developed, to better meet demand and improve equity of access to services;
- establish a more proactive approach, seeking to identify those people at risk of becoming ‘stuck’ within secondary care with a resulting impact upon their ability to return to independent living;
- increase the capacity of reablement and rapid response services to better meet demand (including night time and weekend services);
- encourage innovation and develop new models of delivering sustainable integrated services; and,
- utilise, though not substitute, other sources of funding to maximise opportunities (*see Annex A*).

2.5 The £50m funding will in part deliver these initiatives. However it can also be used in conjunction with other sources of finance. In particular, capital funding has been made available to complement the 2016-17 provisions. This includes £10m in capital to support step-up/step-down and reablement facilities and a further £4m through the Enable enhanced adaptations scheme.

2.6 Further details of other funding initiatives, including the capital schemes listed above, are contained within the separate guidance in **Annex E**.

3 Funding

- 3.1 This funding is only available for, and throughout, the 2016-17 financial year. It can be used alongside other funding streams to maximise the benefits of the Fund and support ongoing costs beyond 2016-17. Where other funding streams have been combined, schemes should be managed in as joined-up a way as possible, to maximise the support through a coherent package of measures.
- 3.2 The majority of the £50m will be provided to health boards on behalf of the seven statutory regional partnerships at the beginning of April, along with notification of their sum. £15m will, however, be held for a delayed deployment pending approval of a new Welsh Government after May. Further details of how this funding will be utilised will be made available to all partnerships in due course. There are varying amounts apportioned to each region and the handling of funding is set out in Chapter 4, with specific allocations outlined in **Annex B**.
- 3.3 The ICF is not to be used:
- for proposals which are not related to the areas identified within this guidance document;
 - to substitute existing funding streams; or,
 - to generate ongoing demand which cannot be met from within existing resources.
- 3.4 The allocations represent the amounts available to each region but actual funding will be distributed on the basis of spend incurred on schemes.

4 Governance and Arrangements

- 4.1 Funding will be given to individual health boards as lead organisations within regional partnerships. However, it is essential that the planning and delivery of regional programmes has the involvement of social services, housing, and the third and independent sector. As the scope of the integration work extends, it will be important that other stakeholders are appropriately involved.
- 4.2 From April 2016, there is a requirement under Part 9 of the Social Services and Well-being (Wales) Act for the establishment of seven statutory regional partnership boards. These boards will lead on the planning and use of the funding, as well as ensuring delivery, to maximise outcomes for people and the effective and efficient use of resources.

Memorandum of Understanding

- 4.3 Welsh Government will need a confirmation from your region of your agreement to receive funding through a memorandum of understanding (MoU). This must be signed by the chief executive of each health board and countersigned by the nominated financial lead (such as a financial director) with the appropriate delegated authority and also approved and endorsed by the statutory regional partnership board.
- 4.4 It should also include details of the schemes you intend to take forward and any identified funding (including likely additional resources) no later than **31 May**.

Reporting

- 4.5 To measure the effectiveness and impact of the ICF, regions are required to provide quarterly reports and more substantive half yearly and annual reports, signed off in the same manner as the MoU in 4.3.
- 4.6 Your reports should also note the revenue you have been allocated as part of this funding and clearly identify additional resources including capital funding. Your reporting should be cumulative in nature and capture the impact made on progress since the start of the fund through evidence-based analysis. Regular reporting

ensures we are aware of progress and can identify and share good practice.

- 4.7 Timescales for reporting are at **Annex C**. A template will be provided in due course, although additional relevant information can also be provided. They can be sent the contacts below and also to ICF16-17@wales.gsi.gov.uk.
- 4.8 In line with the previous years of the ICF we also require you to provide Welsh Government officials with Board Papers, minutes and similar information on an ongoing basis specifically relating to any work under this funding.
- 4.9 You are separately required to undertake evaluation of the impact of your schemes (see section 7).

5 Developing initiatives

- 5.1 Schemes should be developed and delivered on a regional collaborative footprint basis, to ensure change is driven at a strategic level and to improve the consistency of service provision and uniformity of outcomes. Statutory regional partnership boards will develop proposals, working in close partnership with local health boards, local authority, housing and the third and independent sectors.
- 5.2 As the funding is for one year only, you will need to provide us at the earliest opportunity with information on how those schemes extending beyond 31 March 2017 will be sustained and funded. You should also indicate if there are plans to contribute funding from existing sources to the proposal and, if so, set out these plans.
- 5.3 We recognise that needs vary within regions, so it will be for each region, with their partners, to develop proposals and determine the most appropriate model of delivery to best meet the specific needs within their area. The expectation is that a wide range of partners will be involved in the delivery.
- 5.4 The proposals should clearly demonstrate how they will meet the objectives of the Fund set out in **Section 2** and should cover both revenue and capital costs. The proposals should also include how they will meet the following key criteria:
- **Integration** - The Fund aims to encourage integrated working, so schemes should clearly demonstrate the role and contribution of all relevant partners within the region.
 - **Transformational** - Schemes should demonstrate a recognisable shift in the way services are delivered or in the ways the collaborating organisations operate. The impact must be on the long-term and achieving sustainable integrated services.
 - **New/Additional** – The Fund must be used to support new or additional provision of services and ways of working. Schemes must clearly demonstrate the additionality that will be delivered and how this will be measured, particularly within the context of population outcomes.

- **Deliver benefits** - You should clearly highlight the benefits and outcomes for individuals and social care needs, and also highlight how the provision of integrated services will be enhanced or developed within the region. This should include value for money, cost avoidance or savings as appropriate.
- **Strategic** – Your schemes should indicate alignment to the strategic aims of Ministers, including demonstrating sustainability, the wider integration agenda and well-being. They should, for example, demonstrate coherence with the Social Services and Well-being (Wales) Act the Well-being of Future Generations (Wales) Act 2015.
- **Fairness/Equality** - proposals should ensure people with get fair and equal access to good quality health and social care.

5.5 Learning disability schemes should also be based around the key areas set out in **Annex D**. Regions may also wish to utilise the expertise of bodies such as the Learning Disability Advisory Group to inform the development and delivery of their initiatives.

6 The Process

- 6.1 It is recommended that you discuss any new proposals with officials at Welsh Government – see contact details below. A number of regional events will also be organised by the Welsh Government to enable discussions and support the development of proposals.

Contact details

Neil Jones tel: 029 2082 5860
(main enquiries)
email: jones.neil@wales.gsi.gov.uk

Lisa Dunsford tel: 029 2082 6377
email: lisa.dunsford2@wales.gsi.gov.uk

Penny Hall tel: 029 2082 3095
(learning disabilities/complex care)
email: penny.hall@wales.gsi.gov.uk

Julie Annetts tel: 029 2082 6061
(autism)
email: Julie.annetts@wales.gsi.gov.uk

- 6.2 A Welsh Government panel will be convened to review progress of the fund and supported schemes.
- 6.3 Depending on the nature and cost of the schemes proposed by regions and their subsequent progress, further funding may be made available later in the year to ensure utilisation of the full £50 million revenue and £10 million capital funding for 2016-17.

7 Monitoring and evaluation

- 7.1 Evidence will need to be provided to demonstrate the effectiveness of schemes in relation to the Fund's objectives. You will therefore be required to monitor and evaluate these.
- 7.2 You will need to specify how progress towards objectives and the achievement of outcomes will be assessed. Whilst the precise approach will vary, depending on the specific proposals, the expectation is that all monitoring and evaluation proposals should:
- consider how outcomes will be identified and assessed and the types of evidence required to do so;
 - include some means of capturing how and why those outcomes came about and what can be learned from the way things happened (through some form of process evaluation);
 - set out the likely range of indicators, monitoring data and other forms of evidence (e.g. qualitative evidence gathered through interviews) that will be used to evaluate the proposals;
 - where appropriate describe how service users' perspectives will be incorporated into monitoring and evaluation; and
 - describe, as far as possible, a proposed model for carrying out the evaluation (be it externally commissioned, in-house or some other arrangement) and how it will be resourced.
- 7.3 Upon the award of funding, more detailed proposals and plans for monitoring and evaluation should be developed in conjunction with the Welsh Government researchers, who will offer bespoke support and quality assurance on the key aspects.
- 7.4 It is likely that the Welsh Government will prepare and publish a synthesis of evidence from the individual evaluations to identify overall lessons and implications for policy and practice.

Annex A OTHER FUNDING OPPORTUNITIES

CAPITAL FUNDING

i) £10m Capital Housing Support

- 1.1 £10m in capital funding has been made available to support housing initiatives which align and support schemes within the £50m intermediate care funding.
- 1.2 The funding will not support basic individual adaptations to people's homes but would focus on "step-down" and reablement facilities, and support for physical accommodation for people with particular complex needs. This would extend beyond older people to consider the needs of younger people with special accommodation needs e.g. as a result of a brain injury, particularly individual who currently have to be accommodated out of area. It would also include individuals with significant learning disabilities.
- 1.3 Although the main purpose of this capital funding is set out above, it also presents an opportunity to show how schemes will meet objectives such as tackling poverty and improving education standards.
- 1.4 Fuller guidance on this £10m capital provision is available in **Annex E**.

ii) £4m Enhanced adaptations scheme (Enable)

- 1.5 Support for adaptations is being made available separately through the £4m *Enable – Support for Independent Living* scheme. The use of adaptations to people's homes, irrespective of where they live and whether they rent or own their own home, can make a significant contribution to helping people to remain living safely (avoiding falls) and independently in their own home. They play a vital role in avoiding hospital admission and helping people to be discharged in a timely manner from hospital, thus avoiding unnecessary delayed transfers of care.

- 1.6 The £50m revenue funding made available here and the capital money available through the (EAS) can therefore be used to increase the direct referrals made by health and social care teams or care coordinators for adaptations and to ensure they are delivered as part of a wider, co-ordinated package of care and support to meet people's needs.

INVEST-TO-SAVE FUND

- 1.7 The Welsh Government's Invest-to-Save Fund, which has recently opened to new bids, has previously supported initiatives relating to reablement, step-down models, rapid response services and maximising independent living opportunities. Further guidance on the Invest-to-Save Fund can be found at:
<http://wales.gov.uk/topics/improvingervices/better/vfm/i2savefund/guidance/?lang=en>

EUROPEAN FUNDING

- 1.8 Other funding opportunities are available under **Priority 4 of the European Structural Fund Convergence Operational Programme**. The objective of the priority is to improve the effectiveness and efficiency of public services in the region. Further information is available from the Welsh European Funding Office's web site:
<http://www.wefo.wales.gov.uk/frameworks/MakingTheConnections>

EFFICIENCY THROUGH TECHNOLOGY FUND

- 1.9 £10m in revenue funding is being allocated to accelerate the demonstration, evaluation and adoption of new products and services into practice, increasing efficiency and providing patients with better outcomes, in accordance with the principles of Prudent Healthcare. Please contact Abi Phillips for more details:
abigail.phillips@wales.gsi.gov.uk

Annex B

Intermediate Care Fund 2016-17 Indicative allocation spend (per £k)

	Frail and Older People	Older Adults PSS (%)	Learning Disability and Complex Needs	New Govt. Allocation (post election)	Recurrent HCHS/ Prescribing Discretionary Allocation (%)	Autism	TOTAL	Capital	Capital (%)	TOTAL CAP AND REV
			<i>(Initial Allocation)</i>							
Cardiff and Vale	3,690	12.3	535	2,006	13.4	204	6,435	1,291	12.91	7,725
Cwm Taf	2,910	9.7	419	1,570	10.5	204	5,102	1,024	10.24	6,126
Gwent	5,400	18	776	2,911	19.4	249	9,336	1,873	18.73	11,209
Hywel Dda	4,140	13.8	493	1,850	12.3	0	6,484	1,301	13.01	7,785
North Wales	7,170	23.9	921	3,453	23.0	0	11,544	2,316	23.16	13,860
Powys	1,500	5	169	633	4.2	188	2,490	500	5.00	2,989
Western Bay	5,190	17.3	687	2,578	17.2	0	8,455	1,696	16.96	10,152
TOTAL	30,000	100	4,000	15,000	100	845	49,845	10,000	100.00	59,845

NOTES

- (1) Figures rounded to nearest £1,000.
- (2) £30m element uses Older Adults' PSS formula, in line with previous ICF schemes. Figs for Learning Disability based on recurrent HCHS and prescribing discretionary allocation. Figures for Autism apportioned based on first year of a phased rollout according to readiness, not by percentage. The autism allocation will be complemented by further £40k from central funding as part of overall programme.
- (3) Allocations will include costs for evaluation work incurred during the 2016/17 year on the Intermediate Care Fund.
- (4) These are provisional indicative figures only. Final amounts will vary depending on the work being taken forward. Further details to follow.

ANNEX C:

Reporting Deadlines

Deadlines	Documents
29 July – Documents which must accompany claim pro-forma	<ul style="list-style-type: none">• Quarterly Report• Any necessary documentation as requested
29 Oct – Documents which must accompany claim pro-forma	<ul style="list-style-type: none">• Half Yearly Report• Any necessary documentation as requested
29 Jan – Documents which must accompany claim pro-forma	<ul style="list-style-type: none">• Quarterly Report• Any necessary documentation as requested
29 April – Documents which must accompany claim pro-forma	<ul style="list-style-type: none">• Annual report• Any necessary documentation as requested

ANNEX D:

Additional criteria for schemes to address Learning Disabilities/ Complex Needs

Supported schemes should clearly:

- i. demonstrate an integrated approach to services in which the health, housing and social care needs of individuals with learning disabilities are addressed;
- ii. demonstrate an approach based on 'de-escalation' and 'normalisation' principles. Principally that investment leads to a reduction in care outside Wales, outside communities of origin and which better supports people with learning disabilities to live in non-institutional settings;
- iii. promote a 're-ablement' approach to services for people with learning disabilities seeking to reduce, for example, the need for people with learning disabilities to rely on continuing healthcare and the proportion of learning disability parents whose children are removed into local authority care;
- iv. be consistent with the principles of both prudent healthcare and the Social Services and Well-being (Wales) Act 2014. Principally by focusing on prevention and minimum necessary intervention services, investing in the conditions which create successful outcomes, rather than 'ambulance services', available only when everything has gone wrong; and,
- v. provide assurance that people with a learning disability get fair and equal access to good quality health and social care.

Intermediate Care Fund 2016-17

Guidance for the Use of Capital Funding

Introduction

1. This document explains the purpose of the £10 million of additional capital funding which has been provided for the Intermediate Care Fund for 2016-17. It describes the Welsh Government's expectations for the effective and efficient deployment of the Fund's capital resource.
2. The funding is designed to complement the revenue funding which has been allocated to the Fund, building on its first round in 2014-15, which left a number of legacy developments created by joint working between housing, the NHS and Social Services by statutory organisations and Third sector organisations working in partnership. The funding must be used i.e. expenditure incurred, during the 2016-17 financial year.

Background

3. The first programme for the Intermediate Care Fund in 2014-15 included £15 million of capital funding. It was used to fund individual adaptations to people's homes to allow them to continue living safely and as independently as possible. It also supported the joint developments by housing, health and social services to help reduce demands on the NHS and social care services, these developments, such as "step down" and reablement facilities, sought to prevent people from unnecessary admission to hospital or enabling them to leave hospital as soon as possible, thus avoid delays in the transfer of care.

Allocation

4. The capital funding is being allocated on a regional basis. This will be based on the standard approach for allocating funding to the Intermediate Care Fund. It uses the Older Adults PSS formula from the Local Government Revenue Settlement.
5. The funding will be allocated to health boards but spending decisions must be taken collaboratively at the Regional Partnership Boards. The Fund is designed to develop more integrated working and decision making on how it is used must reflect this.

Deployment and use of the 2016-17 funding

6. The aims and objectives for this second tranche of capital funding for the Intermediate Care Fund are:
 - (i) To reduce demands on the NHS and social care services.
 - (ii) To support more joint developments such as “step-down”, reablement or other accommodation-based solutions by Local Authority Housing and Social Services Departments, Local Health Boards, Registered Social Landlords and other Third Sector organisations.
 - (iii) To save money for the NHS and Social Services by finding local accommodation solutions for people who are accommodated out-of-area, individuals with complex needs and people with learning disabilities.
7. The Welsh Government is not prescriptive on the precise use of the funding or the nature of developments provided it is used for accommodation-based solutions and achieves one or more of the aims and objectives listed above. The effective and efficient deployment of the capital funding is a matter for Local Health Boards and their partners to determine, ensuring robust delivery arrangements are put in place to utilise all funding by 31 March 2017. All expenditure must be made by this date. No funding can be carried over into 2017-18. It is expected developments will be the result of close and effective co-operation.
8. Local Health Boards, Local Authorities (Social Services and Housing Departments) and Registered Social Landlords are best placed to identify local needs and priorities for people in their communities and, where complex needs are involved due to long-term health problems or serious health conditions as a result of an accident, for individuals and their families. Whilst this funding will be of particular relevance to meeting the needs of older people, the use of the funding is open to help groups of people or individuals of any age, provided the aims and objectives set out above are met.
9. The new funding is designed with additionality in mind. It is not designed to substitute for, or replace, funding which is available through the programmes or other developments, such as budget cuts. It can, however, be used to

complement and, add value to, existing capital programmes such as the Social Housing Grant and to revenue funding which has been allocated to the Fund for 2016-17. The expectation is that developments which have been possible because of this additional capital funding and which are shown to be effective will be supported by local partners to be able to continue operating into 2017-18 and beyond.

10. In considering the best possible use of the funding, you will need to decide whether the funding is designed to support a relatively large number of people with smaller capital sums, or a small number of people via large capital projects, or a combination of both. But common to all uses is the need to demonstrate that the funding helps to achieve the Fund's objectives and for expenditure to be incurred in 2016-17.
11. The first tranche of capital funding for the Intermediate Care Fund was targeted at the installation of individual, and largely small-scale, adaptations to people's homes to facilitate safe and independent living. Adaptations to people's homes per se are not covered by this allocation of funding. A separate allocation of £4 million has been allocated to the enhanced adaptations system "*Enable – Support for Independent Living*" which was announced on 15 March 2016 and which is being rolled out from 1 April 2016. The system is geared to greater use by Local Health Boards and Social Services Departments in referring cases to prevent falls and thus unnecessary admission to hospitals and residential care homes, and to facilitate earlier discharge home from hospital.
12. It is recognised, however, that to meet the needs of some individuals e.g. those with serious health conditions or disabilities, people with complex needs, or people with learning disabilities, large adaptations such as extensions to homes or major conversions of buildings may be required. These can be supported by the Intermediate Care Fund, particularly where the need is identified by a health or social care professional and where it is the result of joint working between organisations.
13. Developments might include options which enable people to have a little longer to recover from illness or injury before they return to their own home. Developments which over time save funding e.g. to the NHS by reducing the need for out of area placements, are a priority but any development which can reduce the demands on the NHS and Social Services is welcome.
14. Past examples have included local solutions to provide accommodation for people with learning disabilities who have been accommodated in other areas, usually some distance from their families. Also, pilot schemes with a clear "Invest-to-Save" dimension such as the provision of a number of 'convalescence' beds within a residential care setting. The beds operate within a reablement culture and focus upon helping move older people back to independence.

15. The development of more integrated services based on effective joint-working is a core aim of the Intermediate Care Fund. It is expected that proposals will demonstrate effective local delivery arrangements, based on discussion with Local Authority Housing and Social Services Departments, Local Health Boards, Registered Social Landlords and other Third Sector organisations. Effective delivery arrangements will ensure efficient targeting and use of the resources. They should also utilise, and strengthen further wherever possible, the partnerships that already exist and create new ones.

Monitoring and evaluation

16. Evidence will be required to demonstrate what has been developed and by whom and the difference it makes i.e. the impact of the additional funding against the Fund's objectives. You must establish appropriate monitoring and evaluation mechanisms.

17. You will be required to collect and hold specified information for monitoring and evaluation purposes, and to provide this information to the Welsh Government. Such information will be required to support claims for grant payments. The following is an example of some of the information that will need to be collected.

Outputs and outcomes might include:

- Number, type (nature of the development) and value of works
- Number of people helped, by age, disability, ethnic origin
- Information on the number of persons prevented from having to enter hospital.
- Number of out-of-area placements reduced
- Number of persons for whom earlier discharge has been facilitated and the impact (benefits) to the hospital
- Quantified evidence of reduced demand on NHS and/or social services and/or cash savings from developments

Contact

18. The Project Manager for the Fund's capital funding is Judith Askew, Housing Policy Division, Welsh Government (judith.askew@wales.gsi.gov.uk) (0300 062 8627) or Stacey Lewis, Housing Policy Division, Welsh Government (stacey.lewis@wales.gsi.gov.uk) (0300 062 8173)